

PATENT APPLICATION #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q85928

Junko NISHIYAMA, et al.

Appln. No.: Based on PCT/JP2003/014508

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: March 17, 2005

Examiner: Not Yet Assigned

For: LITHIUM ION SECONDARY BATTERY SYSTEM, AND METHOD FOR OPERATING LITHIUM
ION SECONDARY BATTERY

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

1. Japanese Laid-Open Patent Publication No. 06-036803, publication date February 2, 1994, with English Abstract.

2. Japanese Laid-Open Patent Publication No. 11-204148, publication date July 30, 1999, with English abstract.

3. Japanese Laid-Open Patent Publication No. 64-077432, publication date March 23, 1989, with English abstract.

4. Japanese Laid-Open Patent Publication No. 2002-260673, publication date September 13, 2002, with English abstract.

INFORMATION DISCLOSURE STATEMENT
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5. Japanese Laid-Open Patent Publication No. 2000-030749, publication date January 28, 2000, with English abstract.

6. Japanese Laid-Open Patent Publication No. 2003-217679, publication date July 31, 2003, with English abstract.

7. Japanese Laid-Open Patent Publication No. 2002-260673, publication date September 13, 2002, with English abstract.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.


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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known **10/528042**

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	Based on PCT/JP2003/014508
				Confirmation Number	Not Yet Assigned
				Filing Date	March 17, 2005
				First Named Inventor	Junko NISHIYAMA
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	Q85928

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	06-036803	A	02/10/1994	mitsubishi cable ind ltd	Abstract
		JP	11-204148	A	07/30/1999	NEC CORP	Abstract
		JP	64-077432	A	03/23/1989	MITSUI & CO LTD	Abstract
		JP	2002-260673	A	09/13/2002	TOSHIBA BATTERY CO LTD	Abstract
		JP	2000-030749	A	01/28/2000	TOYOTA CENTRAL RES & DEV LAB	Abstract
		JP	2003-217679	A	07/31/2003	DAIKIN IND LTD	Abstract
		JP	2002-260673	A	09/13/2002	TOSHIBA BATTERY CO LTD	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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